



Attorney's Docket No. 042390.P9774

PATENT

215/  
A6  
BT  
11-29-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Erik Cota-Robles, et al. ) Examiner: Not Yet Assigned  
Application No.: 09/823,482 ) Art Unit: Not Yet Assigned  
Filed: March 30, 2001 )  
For: METHOD AND APPARATUS FOR )  
CONSTRUCTING HOST PROCESSOR SOFT )  
DEVICES INDEPENDENT OF THE HOST )  
PROCESSOR OPERATING SYSTEM )

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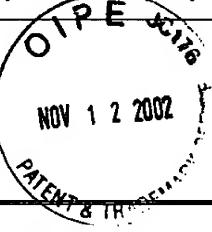
Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 11-8, 2002

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Substitute for Form 1449A/PTO (Modified) use as many sheets as necessary		Attorney Docket No.: 042390.P9774	Application Number: 09/823,482			
Page 1 of 2 		First Named Inventor: Erik Cota Robles, et al.	RECEIVED NOV 14 2002 Technology Center 2100			
		Filing Date: March 30, 2001				
<b>U.S. PATENT DOCUMENTS</b>						
Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Code <sup>2</sup>	Kind (If known)			
		5,455,909		James S. Blomgren, et al.	10-03-1995	

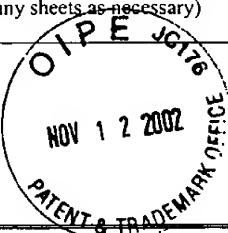
<b>FOREIGN PATENT DOCUMENTS</b>							
Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD- YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office <sup>3</sup>	Number <sup>4</sup>	Kind (If known)			
		EP0600112	A1	Siemens Nixdorf Information System	06-08-1994		X Abstract only
		EP0930567	A3	Texas Instruments, Inc.	07-21-1999		
		EP1146715	A1	ContentGuard Holdings, Inc.	10-17-2001		
		WO 98/34365		AT & T Corporation	08-06-1998		
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		WO 99/57863		International Business Machines Corp.	11-11-1999		
		WO 00/62232		Digital Media On Demand, Inc.	10-19-2000		

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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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		Filing Date: March 30, 2001	

#### OTHER ART – NO PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>
		BERG, Cliff, "How Do I Create A Signed Applet?" Dr. Dobb's Journal, August 1997, pages 1-9	
		GOLDBERG, Robert P., "Survey of Virtual Machine Research," IEEE Computer Magazine Vol. 7, No. 6, pages 34-45, 1974	
		GONG, Li, et al., "Going Beyond the Sandbox: An Overview of the New Security Architecture in the Java Development Kit 1.2," JavaSoft, Sun Microsystems, Inc., Proceedings of the USENIX Symposium on Internet Technologies and Systems, Monterey, California, 11 pages December 1997	
		GUM, P.H., "System/370 Extended Architecture: Facilities for Virtual Machines," IBM J. Research Development, Vol. 27, No. 6, pages 530-544, November 1983	
		HEINRICH, J., "MIPS R4000 Microprocessor User's Manual," Second Edition, Chapter 4: Memory Management, pages 67-79	
		INTEL CORPORATION, Intel386™ DX Microprocessor, 32-Bit CMOS Microprocessor With Integrated Memory Management, 56 pages, December 1995	
		LAWTON, K., "Running Multiple Operation Systems Concurrently On An IA32 PC Using Virtualization Techniques," <a href="http://www.plex86.org/research/paper.txt">http://www.plex86.org/research/paper.txt</a> , pp 1-31, downloaded August 9, 2001	
		MOTOROLA, M68040 User's Manual (Including the MC68040, MC68040V, MC68LC040, MC68EC040, and MC68EC040V), Revised 1993	
		ROSENBLUM, M., "VMware's Virtual Platform™ A Virtual Machine Monitor for Commodity PCs," Proceedings of the 11th Hotchips Conference, Stanford University Palo Alto, California, pages 185-196, August 1999	

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